



Frost Brokerage Services Account Commissions and Fees

Effective September 1, 2024

COMMISSION SCHEDULE (INVESTOR MAKES OWN DECISIONS)

STOCKS	
TRANSACTION AMOUNT	COMMISSION RATE
\$0 - \$5,000	\$42 + 0.8% of \$ amount
\$5,001 - \$15,000	\$42 + 0.6% of \$ amount
\$15,001 - \$25,000	\$42 + 0.5% of \$ amount
\$25,001 - \$50,000	\$42 + 0.4% of \$ amount
\$50,001 and over	\$42 + 0.3% of \$ amount
Minimum Fee \$42.00 per trade Minimum Fee \$.045 per share Maximum Fee \$.50 per share	

OPTIONS	
TRANSACTION AMOUNT	COMMISSION RATE
\$0 - \$3,000	\$42 + 1.0% of \$ amount
\$3,001 - \$10,000	\$42 + 0.9% of \$ amount
\$10,001 and over	\$42 + 0.8% of \$ amount
Minimum Fee \$3.00 per contract Maximum Fee \$25.00 per contract Options Exercises and Assignments are charged the Regular Commission Schedule Rate	

INVEST ONLINE COMMISSION SCHEDULE (ONLINE AND MOBILE TRADING)

STOCKS	
TRANSACTION AMOUNT	COMMISSION RATE
All trades	\$9.99
Minimum Fee \$9.99	

OPTIONS	
TRANSACTION AMOUNT	COMMISSION RATE
\$0 - \$3,000	\$9.99 + \$1.50 per contract

MUTUAL FUNDS	
Load Funds	Sales charges apply as described in the fund's prospectus
No-Load Funds	\$50 transaction fee applies per transaction (buy or sell)

FIXED INCOME	
Agent Transactions	\$50 per transaction
All Other Transactions	Price and yield as quoted

SCHEDULE OF OTHER FEES AND SERVICES

Alternative securities, i.e., securities other than those referenced above	\$35 per registered position \$125 per non-registered position \$500 maximum per account
Annual Custody Fee charged when an account has had no trading activity or margin interest within the previous 12 months. Not charged for accounts with a minimum money market fund balance of \$10,000 as of December 31.	\$50 per year
Annual Retirement Account Fee	\$35
Retirement Termination Fee	\$125
Annual Pledge Account Fee	\$50
Brokerage Portfolio Platinum Account Brokerage Portfolio Gold Account Brokerage Access Account Charged to accounts with special features, please visit with a representative for more information	\$150 \$100 \$50
Outgoing Full Account Transfer Charged when an entire account is transferred to another financial institution	\$75
Legal Return Charged when a certificate is returned to a client due to "Not in Good Order" exceeding 45 days or at a client's request	\$100
Legal Transfer Charged when a certificate requires re-registration, accommodation transfer, etc.	\$175
Outgoing Domestic Wire Transfer Fee*	\$30 per transfer
Account Research	\$20 per hour (minimum 1 hour)
Stop Payment Check Fee	\$25
Extensions Charged when cash or securities are not available to settle a trade by the settlement date, and a trade extension is filed with the stock exchange	\$15
Safe Keeping	\$15
Physical Reorganization Charged when a certificate is deposited into an account after the mandatory reorganization action date has passed	\$175
Transfer and Ship – Direct Registration System (DRS) Charged when a request is received to issue stock through the DRS	\$20
Transfer and Ship – Non-Direct Registration System Charged when a certificate is requested	\$500
Paper Document Fee Charged quarterly for all printed documents as of September 1, 2024	\$9 per quarter

*Outgoing domestic wire transfer fees may vary if you request a funds transfer from your Frost checking, savings or money market account. Please review the schedule of fees for complete information.

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